Data driven digital twins for the maritime domain

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Abstract. Digital twins are computational models that replicate the structure, behaviour and overall characteristics of a physical asset in the digital world. In the maritime domain, conventional approaches have relied on mathematical modeling (e.g., linearised equations of motion) and heavy computations for estimating ship resistance and propulsion, seakeeping and maneuverability and overall hull form optimization, treating the vessel as a point body. For instance, the ability to predict a vessel's future track in confined or congested waters presents a significant challenge due to the fact that as time passes, these models often fall out of sync with their digital counterparts due to changes that happen to the ship (e.g., foulding affecting maneuverability). In addition to this, mostly due to computational resources required, in real world deployments models are simplified, thus reducing their overall prediction accuracy. In our work, we implement AI-enabled coupled abstractions of the asset-twin system, which rely on machine learning methods for constant learning of the evolving over time behavior of a vessel based on historical trip data and information related to vessel's structure and loading capacity. The evaluation results indicate that the inclusion of vessel and journey specific information is beneficial for the predictions.

Keywords. vessel, digital twins, machine learning, big data, trajectory prediction

1. Introduction

The advancement of computational resources establishes the Digital Twins (DTs) as an important digitalization trend across industries [1][2]. In the maritime industry, a digital twin is a digital representation of any physical object, asset or system, which can be translated into a ship engine, embedded vessel sensors, a hull, a propeller, or even an entire ship. It can contain various digital models such as 3D, simulation or Artificial Intelligence (AI) models [3][4]. Several digital twin approaches are already applied covering the entire lifecycle of a vessel (design, manufacturing, operation, and maintenance phase) [5][6][7][8]. These approaches bring several benefits to the stakeholders [9][10], who try to cope with major challenges (e.g. reduction of costs, increase of overall efficiency, reliability, and sustainability) coming from new market demands [11], or stricter environmental and safety regulations [12].

This paper presents a data-driven digital twin approach for the entire trajectory forecasting of the vessel till the destination port. Subsections 1.1 and 1.2 illustrate the

related work and the problem definition, while sections 2 and 3 present the methodology and the evaluation results. Finally, Section 4 showcases the conclusions.

1.1. Related work

DTs were initially and widely used in marine industry for design purposes, where Computer Aided Design (CAD) systems have to a large extent replaced traditional manual design, solving complex engineering tasks faster and more precisely using computer software such as 3D models and simulation tools [13]. Nowadays, digital twins were evolved from the static and descriptive models of the early CAD approaches to dynamic models for the behavior of the virtual entity during its entire lifecycle and operation [14][15].

The implemented approaches to reduce the gap between physical and virtual entities can be classified based on the software design patterns followed for the DT creation [16]. ML and physics-based DTs are two distinct implementation approaches of DTs in the maritime industry. The main advantages and disadvantages between the ML and physics-based DTs are summarized in Table 1 [16], indicating that both approaches can be complementary and are always oriented to the specific scope and/or restrictions of the study. The tradeoff among the levels of performance, scalability and level of accuracy for each DT are the main factors driving the decisions on the type of the model that will be finally implemented. Some recent approaches to achieve high performance follow the co-simulation pattern for distributed resources, data, and models [9][17], however these approaches do not reach the high scalability levels for a large fleet of vessels, which can be achieved with a more abstract approach based on data science and ML principles.

| Machine learning based | | Physics based | |
|--|---|------------------------------------|--|
| Advantages | Disadvantages | Advantages | Disadvantages |
| Model derived from data | Availability of large | Models capture | Require extensive |
| only - no need for domain | size training data to | deep existing | domain (physics) |
| knowledge | develop the model | knowledge on | knowledge |
| Generic and flexible | Correlations and not | physics | Computationally |
| handling heterogenous data | causalities can be | Causal | intensive (increased |
| types to extract knowledge | extracted. Back-box, no | relationships | accuracy leads to extra |
| Model improves over time | explanations for the | provide insight and | needs for resources) |
| (reinforcement learning) | behavior for some cases | understanding | Scaling restrictions |
| Good at discovering | Approximation | Uncertainty | (extra resources and |
| complex relationships and | methods, no exact | controlled by input | time are required to |
| patterns | mathematics | and modeling | run multiple |
| Scaling for different | Predictive capabilities | accuracy | experiments for |
| vessels and parameters can | deteriorate quickly | Model has | different vessels and |
| be easily achieved | outside training set scope | universal validity | parameters) |
| leveraging data science | Difficult to predict | | Assumptions about |
| principles. | extreme/critical | | input-output should be |
| | conditions due to few | | made upfront |
| | observations | | |

Table 1. Advantages and disadvantages of machine learning and physics based digital twins.

DTs are also applied on the route planning process [18], when traditionally the route is plotted beforehand to be the most efficient in terms of fuel consumption, speed or distance travelled. With the rise of the first digital twins, the first geographic information systems [19] were created to assist the nautical navigation, while complying with high safety standards and strict regulations. Traditional applied

methods for modelling ship movement are based on kinematic models and motion measurements such as acceleration [20][21], without accounting dynamic effects such as loading condition and environmental disturbances due to wind, waves and current; information that is handled by advanced dynamic models [22]. However, limitations due to the lack of direct measurements of these dynamic effects (e.g., current drift) leads to oversimplified models with substantial discrepancies between the behavior of the real ship and the virtual model. ML based and data driven approaches can bridge such discrepancies, providing accurate results for different vessels at large scale.

After the establishment of the Automatic identification system (AIS), and as moving data volumes and availability increased, transportation intelligence also evolved with increased need for data-driven methods combined with DT technology to optimize moving objects operation. Various ML and data-driven methods based on AIS have been proposed in the past years to address the route (trajectory) forecasting problem in the maritime [24][25][26][27][28][29][30][31]. In addition, some previous works discuss digital twins' implementations for planning and security monitoring of the transport corridors [7][32][33]. Finally, [34] recently proposed a data driven methodology to forecast the vessel trajectories in the open sea free-space. However, the aforementioned methods are focused on extracting knowledge only from the spatio-temporal information of the AIS messages without taking into consideration additional static information related to the structural characteristics of the vessel (such as the size), and dynamic information such as the draught, deadweight tonnage (dwt), and schedule details, which can potentially affect the final trajectory of the vessel.

1.2. Problem description

A trajectory is a path that a moving object follows through space as a function of time. To capture though the accurate and complete trajectory of a moving object, is almost impossible in real conditions, due to the inherent limitations of data acquisition and storage mechanisms. As a result, the continuous movement of an object is usually obtained as an approximate form of discrete

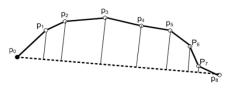


Figure 1. Approximated trajectory captured by a sensor

samples of spatiotemporal locations (Figure 1). Thus, it can be captured as a time stamped series of location points as in Eq. (1), where x_i , y_i represent geographic coordinates of the moving object at time t_i and N is the total number of elements in the series. Notice that the approximated trajectory can also be represented as a series of line segments between the stamped positions as in Eq. (2), given that there is a unique identifier grouping these positions into the same path.

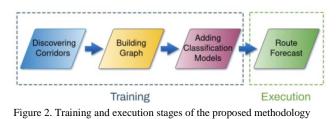
$$p_0(x_0, y_0, t_0), p_1(x_1, y_1, t_1), \dots, p_N(x_N, y_N, t_N)$$
(1)

$$traj_1 = p_0 p_1, p_1 p_2, p_2 p_3, p_3 p_4, p_4 p_5, p_5 p_6, p_6 p_7, p_7 p_8$$
⁽²⁾

In this work, an efficient technique is developed to forecast the path of the vessel till its arrival at the destination port by receiving as input the current location of a particular vessel along with its destination port. The proposed model is trained based on a huge data of historical trajectories for the same journey, while incorporating additional static and dynamic features to improve accuracy of the prediction, leading to a Digital Twin model aligned with its physical counterpart.

2. Methodology

Although vessels in theory may be considered to be moving in freespace, historical data indicate that is not the case. In general, vessels tend to follow specific pathways across the seas



in order to reach their destination. These pathways may originate from international passages, hydrographic studies or simply serve the closest path towards the destination port. The proposed approach translates historical data of a specific route (i.e., trajectories from a single pair of origin-destination ports) into a directed network. Figure 2 presents all stages of the proposed approach for the actual training of the algorithm and the route prediction. After discovering the frequent pathways of movement (corridors), the relations between neighboring pathways are modelled through the creation of a directed graph, which represents the movement of vessels. In the next step, and in order to improve the prediction accuracy, the algorithm is enhanced with classification models. Each model is responsible for deciding which pathway the vessel is to follow in a specific junction of the created graph. Features considered by these models include static and dynamic information regarding the moving vessel and the journey. The following sections describe in more detail all stages of the approach.

2.1. Extracting corridors

In the first stage of the methodology, the major pathways of the vessels are extracted through a preprocessing on a huge volume of historical data. More precisely, the AIS messages that were collected are cleaned and partitioned according to the route they belong. This is achieved by splitting the historical data of each vessel on trajectories beginning from and ending at a port, using the port geometries as an indicator based on [35]. After grouping the trajectories from all vessels based on the origin and destination port, a separate model for each group is constructed.

Since the goal of this step is to determine the common pathways between vessels of the same route, clustering techniques are used to create spatial groups between the positions found in the historical trajectories. First, one of the historical trajectories is selected as the baseline trajectory. Moving along consecutive points of this trajectory, corresponding rectangles are generated, and then a clustering for all points falling in the same rectangle is performed (using the DBSCAN algorithm [36]). By keeping the clusters that include the baseline trajectory in each case, series of corresponding areas

are extracted, forming a corridor. After removing all the trajectories that were covered by the previous steps, a new baseline trajectory is selected and the same process is performed to create all corridors of the journey (highlighted with color in Figure 3). In the end, multiple corridors are extracted from the dataset. The final number of the extracted corridors varies depending on both the clustering method, the parameters defined for the geometry extraction, as well as the characteristics of the route itself. Finally, for each corridor a representative path is extracted based on all the trajectories encapsulated in this corridor.

2.2. Creating movement graph

After extracting the corridors, the vessel movement is translated into a directed graph. To achieve this, the sub-areas where vessels have changed corridors during their travel are firstly detected. The number of vessels following this transition is captured as an indicative weight for each case. Next, a directed weighted graph is created for the vessel movement, so that each node represents these previously extracted points of transition between different corridors.

2.3. Adding classification models

To enhance the prediction with additional static and dynamic information, a mechanism that updates the graph weights is incorporated. Specifically, after the creation of the graph, these additional features are passed at the transition points to train a separate classification model at each point. This way, during the prediction step, the graph is updated based on the query's characteristics and achieve more accurate results close to the physical replica of the vessel. The features taken into account in this study are the length, draught, deadweight tonnage (dwt) of the vessel as well as the day of the week the journey took place. The proposed system trains two classification models (based on Decision Trees [37] and Support Vector Machines [38]) for each junction point of the graph and keeps the most accurate one for the prediction.

2.4. Route forecasting

Using the created graph and the classification models from the previous stage and based on a vessel's current position, a prediction for a vessel's path until the destination port is produced by firstly identifying the closest corridor to the vessel's position. Next, the algorithm moves along the corridor's baseline trajectory until the next transition point, when the graph's weights are used to decide whether to continue on the current corridor, or to change and continue the journey accordingly. These steps are repeated until reaching the destination port.

3. Evaluation

3.1. Experimental setup

To evaluate the accuracy of our approach and quantify the inclusion of several features on the final prediction, two AIS datasets were used, each concerning a specific pair of origin-destination ports. The first dataset consists of all trips of passenger vessels from the port of Mykonos to Piraeus for a single year (2019), while the other includes all trips of container vessels from Marsaxlokk to Thessaloniki for a four-year period (2016-2019). For the former dataset 82 trajectories were considered during training and 36 for evaluation, while for the latter the trajectories were split to 129 and 7, respectively. We perform full trip predictions from the origin port using different features as input and the results are compared to the real trajectory of the vessel collected through AIS using the Dynamic Time Warping (DTW) method [39].

3.2. Results

Table 2 summarizes the results of the study for the aforementioned dataset, while Figure 3 illustrates the extracted corridors of the two journeys.

 Table 2. Experimental results on real data for the route Mykonos-Piraeus targeting passenger vessels and

 Marsaxlokk-Thessaloniki for container vessels, respectively. The results shown are calculated through DTW

 and measured in km. The best combination of features in terms of prediction accuracy is highlighted in bold.

| Classification features | Mykonos-Piraeus | Marsaxlokk-Thessaloniki |
|---------------------------------|-----------------|-------------------------|
| (None) | 16.174813 | 10.930125 |
| dayOfWeek | 14.000156 | 10.925935 |
| dayOfWeek, draught | 13.954733 | 10.328922 |
| dayOfWeek. dwt | 14.000127 | 10.914007 |
| dayOfWeek, length | 13.986446 | 10.307028 |
| dayOfWeek, draught, length | 14.412126 | 10.925935 |
| dayOfWeek, dwt, length | 14.397106 | 10.914007 |
| dayOfWeek, draught, dwt | 13.987193 | 10.930887 |
| dayOfWeek, draught, dwt, length | 13.99941 | 10.944829 |

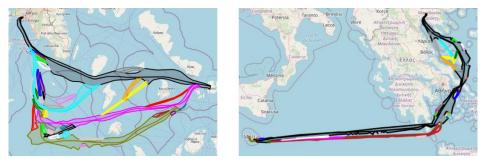


Figure 3. Resulting corridors for the Mykonos-Piraeus (left) and Marsaxlokk-Thessaloniki (right). Each corridor is represented by a different color.

In general, the use of most features benefits our approach, decrease the prediction error up to 13.73% compared to the implementation without including any static or dynamic information using the real trajectory as reference point. As seen in Figure 3, the selected routes are of high complexity, in terms of the number of different extracted corridors, mainly due their passage through the Aegean archipelago, which consists of numerous islands, giving many alternative paths for the journey. Furthermore, some combinations of more than one feature result in a more accurate prediction. For instance, it can be seen that the inclusion of both the journey's day of the week along with the vessel's draught leads to significant improvement to the resulting predictions in both journeys. On the contrary, in some cases allowing multiple features to be included may damage the model's accuracy, as is illustrated in the last row of the table.

4. Conclusions

This work explores the use of DTs in the maritime domain, focusing mainly on the vessel trajectory prediction problem. Though several works provide accurate results for short-term route forecasting, the presented work is dedicated to predicting the vessel's future path until the destination port, regardless of the distance. Furthermore, based on clustering and classification techniques, the proposed method uses past movement patterns from historical data and vessel-specific characteristics to return better-suited predictions. Experimental results on real data show that our approach performs prediction of high accuracy even for complex trips, while further analysis indicates that the inclusion of additional static and dynamic information features can refine the prediction accuracy. Finally, the addition of dynamic information related to weather conditions may be considered as an extension of the current work.

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